

Additional details pursuant to the  
tax law for legal entities  
For passive NFEs only



Die Vermögensbank.

(Securities)  
Account No.

--	--	--	--	--	--	--	--	--	--	--	--

(Please complete the form legibly in block capitals)

**Details on the tax residence of the person(s) controlling the (securities) account holder**

(A) controlling person(s) is/are (a) natural person(s) who control(s) a legal entity. The term largely conforms to the term of the beneficial owner as defined by the German Act to fight Money Laundering ('Geldwäschegesetz'). The beneficial owner is the natural person who ultimately owns or controls the (securities) account holder, or the natural person at whose instigation a transaction is ultimately conducted or a business relationship is ultimately established. In the case of non-listed enterprises, control/ownership is assumed when a person indirectly or directly holds more than 25% of the capital shares or more than 25 % of the voting rights.

- No controlling persons have been identified.  
 The following controlling persons have been identified:

First controlling person		Second controlling person	
Forename	Surname	Forename	Surname
Nationality	Date of birth	Nationality	Date of birth
Place of birth	Country of birth (optional)	Place of birth	Country of birth (optional)
Postcode/town or city	Country	Postcode/town or city	Country
<b>is resident for tax purposes</b>		<b>is resident for tax purposes</b>	
<input type="checkbox"/> in Germany		<input type="checkbox"/> in Germany	
<b>and/or resident for tax purposes</b>	Tax Identification Number (optional)	<b>and/or resident for tax purposes</b>	Tax Identification Number (optional)
in	Tax Identification Number*	in	Tax Identification Number*
in	Tax Identification Number*	in	Tax Identification Number*
in	Tax Identification Number*	in	Tax Identification Number*

\* TIN is the standard international abbreviation for Tax Identification Number. Indication of the TIN is mandatory, unless the country in question does not issue a TIN or any functionally equivalent identification number. For the European TINs, see: [https://ec.europa.eu/taxation\\_customs/online-services/online-services-and-databases-taxation/tin-taxpayer-identification-number\\_en](https://ec.europa.eu/taxation_customs/online-services/online-services-and-databases-taxation/tin-taxpayer-identification-number_en).

**Please note:** incorrect or incomplete information in countries in which you are liable for tax may give rise to criminal prosecution. The Bank is not authorised to provide legal advice. Legal questions, particularly on tax residence, should therefore be clarified with a tax consultant. I hereby certify that all the information provided above is complete and accurate and undertake to notify the Bank promptly of any changes.

**Note on details of tax residence and their transmission to the German Federal Central Tax Office ('Bundeszentralamt für Steuern' [BZSt])**

The Bank collects, stores and processes details of tax residence on the basis of the German Act on the Exchange of Financial Account Information (Finanzkonten-Informationsaustauschgesetz ('FKAustG')), the German FATCA-USA Implementing Regulation ('FATCA-USA-UmsV') and the German Interest Information Regulation' ('Zinsinformationsverordnung' [ZIV]).

If any of the tax residences indicated by you is

- the United States of America,  
 another EU member state, or

another country which has agreed an exchange of tax information with the Federal Republic of Germany, the information stipulated under the German Act on the Exchange of Financial Account Information and/or the German FATCA-USA Implementing Regulation and the German Interest Information Regulation will be transmitted to the German Federal Central Tax Office. In compliance with the statutory requirements, details of the (securities) account holder (particularly name, address, country or countries of residence, date and place of birth, Tax Identification Numbers<sup>2</sup>, as well as account and [securities] account numbers), account balances and credited investment income, including proceeds from the sale or redemption of financial assets, will be reported. The German Federal Central Tax Office will forward this information to the competent foreign tax authority in the country of residence. If you are resident for tax purposes in Germany only, no such information will be reported to the German Federal Central Tax Office.

1 The German Interest Information Regulation ('ZIV') applies from 2016 onwards only to the countries and territories that do not yet take part in the international automatic exchange of information under the German Act on the Exchange of Financial Account Information ('FKAustG'). Austria, in particular, will only take part as of 1 January 2017. In addition, the separate bilateral agreements between five European countries – Switzerland, Liechtenstein, San Marino, Monaco and Andorra – and the European Union and between all EU member states and 12 dependent or associated territories (the Channel Islands, the Isle of Man and the dependent or associated territories in the Caribbean) will remain in force until they are repealed or revised.

2 Number identifying a taxpayer or functional equivalent in the absence of a tax identification number.

**Unterschriften**

<p>Ort</p> <p><b>X</b></p> <p>Name of the undersigned/signature</p>	<p>Datum</p> <p><b>X</b></p> <p>Name of the undersigned/signature</p>
---	---